IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION OF:

Robert W. Naismith Nadia N. Dailey

SERIAL NO. 10/034,64

FILED: December 28, 2001

FOR: CONSOLIDATION,
AGGREGATION, AND
DISTILLATION OF
INTERACTIVE FINANCIAL
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Group Art Unit: 2161

Examiner: Not Assigned

Certificate of Mailing

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on this 24th day of May, 2002.

Patrick J. Arnold Jr. Registration No. 37,769 Attorney for Applicants

COPY OF PAPERS ORIGINALLY FILED

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Commissioner For Patents Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Application dated January 29, 2002 (return copy enclosed), enclosed are 3 sheets of substitute drawings figures (Figures 1-3). Each of the 3 sheets of drawing figures includes a margin of at least 2.5 cm. (1 inch) in compliance with 37 C.F.R. 1.84.

No fees are believed to be necessary. Please charge any additional fees or credit any overpayment to the deposit account of McAndrews, Held & Malloy, Ltd., Account No. 13-0017.

Respectfully submitted,

Patrick J. Arnold Jr.

Registration No. 37,769 Attorney For Applicants

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Date: May 24, 2002



United States Patent and Trademark Office

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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 12/28/2001

10/034,644

Robert W. Naismith

12935US02

CONFIRMATION NO. 9584

FORMALITIES LETTER

OC000000007383865

Patrick J. Arnold Jr. McAndrews, Held & Malloy, Ltd. 34th Floor 500 West Madison Street Chicago, IL 60661





Date Mailed: 01/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment;

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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A copy of this notice \underline{MUST} be returned with the reply.

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